NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES (Small Entity)

Docket No. GENP:101 US

In Re Application Of: Richard C. Payne Customer No. Group Art Unit Confirmation No. Examiner Application No. Filing Date 09/893,633 06/27/2001 Clement B. Graham 24041 3628 5630 Invention: COMPUTER BASED-SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL **OPTION** APR 1 1 2006 28ADEMARS COMMISSIONER FOR PATENTS: Applicant(s) hereby appeal(s) to the Board of Patent Appeals and Interferences from the decision of the Primary Examiner dated January 31, 2006 finally rejecting Claim(s) 1-23 Applicant is a small entity under 37 CFR 1.9 and 1.27. ☐ Applicant claims small entity status. See 37 CFR 1.27 The fee for this Notice of Appeal is: \$250.00 A check in the amount of the fee is enclosed. ☐ The Director has already been authorized to charge fees in this application to a Deposit Account. The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0822 ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: April 6, 2006 Signature C. Paul Maliszewski Registration No. 51,990 Simpson & Simpson, PLLC hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage 5555 Main Street as first class mail in an envelope adressed to the Williamsville, NY 14221-5406 "Commissioner for Patents, P.O. Box 1450, Alexandria, VA Telephone No. 716-626-1564 22313-1450" [37 CFR 1.8(a)] on April 6, 2006 04/18/2006 CCHAU1 00000032 09893633

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CC:

(Dale)

Signature of Person Mailing Correspondence

C. Paul Maliszewski

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